

Form PTO-1449  
(REV. 7-92)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

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APPLICANT

Emily Squires et al.

FILING DATE

October 2003

GROUP

# LIST OF CITATIONS BY APPLICANT

(Use coveral sheets if necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA	6 0 5 2 3 9 4	4/18/00	Yong-Woo Lee et al.	372	6	9/14/98
AD	6 1 3 0 8 9 9	10/10/00	R.E. Epworth et al.	372	6	12/12/96
AC	6 4 5 9 8 2 9	10/1/02	R. Yamauchi et al.	385	24	2/3/00
AD	6 3 5 1 5 8 3	2/26/02	E.F. Bergmann et al.	385	24	1/28/00
AE	5 7 4 8 8 1 5	5/5/98	A. Hamed et al.	385	37	8/28/96
AP						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							

## OTHER INFORMATION (Including Author, Title, Date, Portion of Page, Etc.)

AB	
AS	
AT	

EXAMINED	DATE CONSIDERED
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\* EXAMINED: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with cost recapitulation to applicant.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of  
Emily M. Squires and  
Hamid R. Khazaei

Examiner:

Group Art:

Title: COMBINATION WAVELENGTH  
MULTIPLEXER AND  
WAVELENGTH STABILIZER

Serial No.:

Filed: October 22, 2003

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Honorable Commissioner of Patents and Trademarks  
Alexandra, VA 22313-1450

Sir:

Applicants respectively request that the following references be considered in the examination of the above-identified application. A copy of each reference is enclosed.

Cited Art

U.S. Patent No. 6,052,394, (Lee et al.), issued on April 18, 2000.

U.S. Patent No. 6,130,899 B1, (Epworth et al.), issued on October 10, 2000.

U.S. Patent No. 6,351,538 B1, (Bergmann et al.), issued on February 26, 2002, and cited at page 1 of the specification.

U.S. Patent No. 6,459,829 B1, (Yamauchi et al.), issued on October 1, 2002.

U.S. Patent No. 5,748,815 (Hamel et al.), issued on May 5, 1998.

REMARKS

Under Rule 37 C.F.R. 1,98(a), which is effective as of March 16, 1992, applicant submits that no specific comments are necessary for any of the above cited English Language publications.

For the Examiner's convenience, applicants have attached a completed PTO-1449 form hereto.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Irwin Ostroff', is written over a horizontal line.

Irwin Ostroff  
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